

**American Equity Investment Life Insurance Company**  
**Funding Acceptance Chart for Legend Financial Marketing Services**

Fund Type	American Equity YES or NO	Notes
Non-Qualified Money	Yes	
Non-Qualified Stretch	Yes	Please refer to 01F4503-NQ for additional rules
401(a)	No	
401(k)	Yes	Will be coded as Trad. IRA. AEL cannot setup 401k's.
403(b)/TSA Plan/ Roth 403(b)	Yes	
457(b)	Yes	
501(c) Non-Profit	Yes	
529 Plan	No	
Defined Benefit Plan	No	
Keogh (HR-10) Plan	No	
Money Purchase Plan	No	
Profit-Sharing Plan	No	
SEPP 72(t)/ SEPP 72(q)	Yes	<b>Needs pre-approval</b>
<b>Traditional IRAs</b>		
Rollover IRA (Traditional)	Yes	
Custodial IRA	No	
Inherited IRA	Yes	Please refer to 01F4503-IRA
Nondeductible IRA/Taxable IRA	No	
Self-Directed IRA	No	
SEP IRA	Yes	
SIMPLE IRA	Yes	<b>Must be coded as Trad. IRA</b>

## American Equity Investment Life Insurance Company

### Funding Acceptance Chart for Legend Financial Marketing Services

Fund Type	Insurance Company YES or NO	Notes
<b>Roth IRAs</b>		
Roth IRA	<b>Yes</b>	
Roth IRA Conversion (Full)	<b>Yes</b>	
Roth IRA Conversion (Partial)	<b>Yes</b>	
Backdoor Roth IRA	<b>No</b>	
Designated Roth/Roth 401(k)	<b>Yes</b>	
Inherited Roth IRA	<b>Yes</b>	
Self-Directed Roth IRA	<b>No</b>	
Spousal Roth IRA <small>(Living spouse assumes deceased spouse's Roth IRA.)</small>	<b>Yes</b>	
<b>Ownership</b>		
Charitable Lead Annuity Trust	<b>No</b>	
Charitable Remainder Annuity Trust	<b>No</b>	
Charitable Remainder Trust	Yes	<b>Please refer to 014504 for rules</b>
Charitable Remainder Unitrust	<b>No</b>	
Corporation	Yes	<b>Please refer to 014504 for rules</b>
Irrevocable Trust	Yes	<b>Please refer to 014504 for rules</b>
Family Limited Partnerships	<b>No</b>	
Revocable Trust	Yes	<b>Please refer to 014504 for rules</b>
Special Needs Trust	Yes	<b>Please refer to 014504 for rules</b>
Net Income with Make-up Charitable Remainder Unitrust	No	