|  |  |  |
| --- | --- | --- |
| **Fund Type** | **YES or NO** | **Notes** |
| Non-Qualified Money | **Yes** |  |
| Non-Qualified  Stretch | Yes |  |
| 401(a) | **Yes** | New policy issued as traditional IRA |
| 401(k) | **Yes** | New policy issued as traditional IRA |
| 403(b)/TSA Plan/  Roth 403(b) | Yes | New policy issued as traditional IRA |
| 457(b) | **Yes** | New policy issued as traditional IRA |
| 501(c) Non-Profit | **No** |  |
| 529 Plan | **No** |  |
| Defined Benefit Plan | **No** |  |
| Keogh (HR-10)  Plan | **No** |  |
| Money Purchase Plan | **No** |  |
| Profit-Sharing Plan | **No** |  |
| SEPP 72(t)/ SEPP 72(q) | **No** |  |
| **Traditional IRAs** | | |
| Rollover IRA  (Traditional) | **Yes** |  |
| Custodial IRA | **No** |  |
| Inherited IRA | Yes |  |
| Nondeductible  IRA/Taxable IRA | **No** |  |
| Self-Directed IRA | **No** |  |
| SEP IRA | **No** |  |
| SIMPLE IRA | **No** |  |

|  |  |  |
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| **Fund Type** | **YES or NO** | **Notes** |
| **Roth IRAs** | | |
| Roth IRA | **Yes** |  |
| Roth IRA  Conversion (Full) | **Yes** |  |
| Roth IRA  Conversion (Partial) | **Yes** |  |
| Backdoor Roth IRA | **No** |  |
| Designated  Roth/Roth 401(k) | **No** |  |
| Inherited Roth IRA | **Yes** |  |
| Self-Directed  Roth IRA | **No** |  |
| Spousal Roth IRA  (Living spouse assumes deceased spouse’s Roth IRA.) | **Yes** |  |
| **Ownership** | | |
| Charitable Lead  Annuity Trust | **No** |  |
| Charitable Remainder Annuity  Trust | **No** |  |
| Charitable Remainder Trust | No |  |
| Charitable Remainder Unitrust | **No** |  |
| Corporation | No |  |
| Irrevocable Trust | Yes |  |
| Family Limited Partnerships | **No** |  |
| Revocable Trust | Yes |  |
| Special Needs Trust | Yes |  |
| Net Income with Make-up Charitable  Remainder Unitrust | No |  |