|  |  |  |
| --- | --- | --- |
| **Fund Type** | **YES or NO** | **Notes** |
| Non-Qualified Money | **Yes** |  |
| Non-Qualified Stretch | **NO** |  |
| 401(a) | **Yes** | **Rollover to IRA only** |
| 401(k) | **Yes** | **Rollover to IRA only** |
| 403(b)/TSA Plan/Roth 403(b) | **Yes** | **Rollover to IRA only** |
| 457(b) | **NO** |  |
| 501(c) Non-Profit | **Yes** |  |
| 529 Plan | **NO** |  |
| Defined Benefit Plan | **Yes** | **Rollover to IRA only** |
| Keogh (HR-10) Plan | **Yes** | **Rollover to IRA only** |
| Money Purchase Plan | **Yes** | **Rollover to IRA only** |
| Profit-Sharing Plan | **Yes** | **Rollover to IRA only** |
| SEPP 72(t)/ SEPP 72(q) | **NO** |  |
| **Traditional IRAs** | | |
| Rollover IRA (Traditional) | **Yes** |  |
| Custodial IRA | **NO** |  |
| Inherited IRA | **Yes** | **SPOUSAL ONLY** |
| Nondeductible IRA/Taxable IRA | **NO** |  |
| Self-Directed IRA | **Yes** |  |
| SEP IRA | **Yes** |  |
| SIMPLE IRA | **Yes** | **Rollover to IRA only** |

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| **Fund Type** | **YES or NO** | **Notes** |
| **Roth IRAs** | | |
| Roth IRA | **Yes** |  |
| Roth IRA Conversion (Full) | **NO** | **Convert prior to funds coming over** |
| Roth IRA Conversion (Partial) | **NO** | **Convert prior to funds coming over** |
| Backdoor Roth IRA | **NO** | **Convert prior to funds coming over** |
| Designated Roth/Roth 401(k) | **Yes** | **Rollover to Roth IRA only** |
| Inherited Roth IRA | **Yes** | **SPOUSAL ONLY** |
| Self-Directed Roth IRA | **Yes** |  |
| Spousal Roth IRA  (Living spouse assumes deceased spouse’s Roth IRA.) | **Yes** |  |
| **Ownership** | | |
| Charitable Lead Annuity Trust | **Yes** |  |
| Charitable Remainder Annuity Trust | **Yes** |  |
| Charitable Remainder Trust | **Yes** |  |
| Charitable Remainder Unitrust | **Yes** |  |
| Corporation | **Yes** |  |
| Irrevocable Trust | **Yes** |  |
| Family Limited Partnerships | **Yes** |  |
| Revocable Trust | **Yes** |  |
| Special Needs Trust | **Yes** |  |
| Net Income with Make-up Charitable Remainder Unitrust | **Yes** |  |